

PATENT APPLICATION SERIAL NO. 10/030986


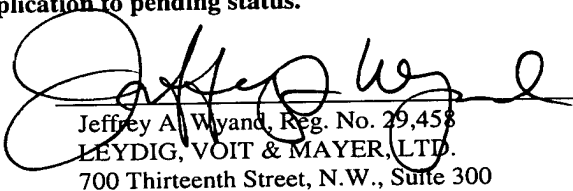
U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

01/22/2002 NGUYEN 00000129 10030986

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890.00 DP

<p>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p><b>TRANSMITTAL LETTER TO THE UNITED STATES</b>  <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b>  <b>CONCERNING A FILING UNDER 35 USC 371 AND 37 CFR 1.491</b></p>		<p>ATTORNEY DOCKET NO. 401530</p> <p>U.S. APPLICATION NO. <b>10/030986</b></p>
<p>INTERNATIONAL APPLICATION NO. PCT/JP00/03994</p>	<p>INTERNATIONAL FILING DATE June 19, 2000</p>	<p>PRIORITY DATE CLAIMED</p>
<p>TITLE OF INVENTION <b>EXCITATION CONTROL DEVICE AND EXCITATION CONTROL METHOD</b></p>		
<p>APPLICANT(S) FOR DO/EO/US <b>KITAMURA ET AL.</b></p>		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p>		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.</p>		
<p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.</p>		
<p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)).</p>		
<p>4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</p>		
<p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 USC 371(c)(2))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p>		
<p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 USC 371(c)(2)).</p>		
<p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p style="margin-left: 20px;">b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p style="margin-left: 20px;">d. <input checked="" type="checkbox"/> have not been made and will not be made.</p>		
<p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).</p>		
<p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 USC 371(c)(4)).</p>		
<p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).</p>		
<p>11. Nucleotide and/or Amino Acid Sequence Submission</p> <p style="margin-left: 20px;">a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p style="margin-left: 20px;">b. Specification Sequence Listing on:</p> <p style="margin-left: 40px;">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p style="margin-left: 40px;">ii. <input type="checkbox"/> Paper Copy</p> <p style="margin-left: 20px;">c. <input type="checkbox"/> Statement verifying identity of above copies</p>		
<p><b>Items 12 to 19 below concern other document(s) or information included:</b></p>		
<p>12. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p style="margin-left: 40px;"><input checked="" type="checkbox"/> Form PTO-1449</p> <p style="margin-left: 40px;"><input checked="" type="checkbox"/> Copies of Listed Documents</p>		
<p>13. <input checked="" type="checkbox"/> An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p>		
<p>14. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p style="margin-left: 20px;"><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p>		
<p>15. <input type="checkbox"/> A substitute specification.</p>		
<p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p>		
<p>17. <input checked="" type="checkbox"/> Application Data Sheet Under 37 CFR 1.76</p>		
<p>18. <input checked="" type="checkbox"/> Return Receipt Postcard</p>		
<p>19. <input checked="" type="checkbox"/> Other items or information: Drawings (7 sheets)</p>		

U.S. APPLICATION NO. <b>10/030986</b>		INTERNATIONAL APPLICATION NO. PCT/JP00/03994		ATTORNEY DOCKET NO. 401530			
20. <input checked="" type="checkbox"/> The following fees are submitted: <b>Basic National Fee (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO..... \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO, but international search fee (37 CFR 1.445(a)(2)) paid to USPTO..... \$740.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) to (4)..... \$100.00				CALCULATIONS		PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT=				\$890.00			
Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date				\$			
CLAIMS		NUMBER FILED		NUMBER EXTRA		RATE	
Total Claims		4				x \$ 18.00	
Independent Claims		2				x \$ 84.00	
		- 3 =				+ \$280.00	
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)							
TOTAL OF ABOVE CALCULATIONS=				\$890.00			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$			
SUBTOTAL=				\$890.00			
Processing fee of \$130.00 for furnishing English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date.				\$			
TOTAL NATIONAL FEE=				\$890.00			
Fee for recording the enclosed assignment. The assignment must be accompanied by an appropriate cover sheet. \$40.00 per property				+		\$40.00	
TOTAL FEE ENCLOSED=				\$930.00			
				Amount to be:			
				refunded		\$	
				charged:		\$	
a. <input checked="" type="checkbox"/> A check in the amount of \$930.00 to cover the above fee is enclosed. b. <input type="checkbox"/> Please charge Deposit Account No. 12-1216 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:							
 <b>23548</b> PATENT TRADEMARK OFFICE				 Jeffrey A. Wyand, Reg. No. 29,458 LEYDIG, VOIT & MAYER, LTD. 700 Thirteenth Street, N.W., Suite 300 Washington, DC 20005-3960 (202) 737-6770 (telephone) (202) 737-6776 (facsimile)			
				Date: <u>January 16, 2002</u>			